

**QUARTERLY STATEMENT**

**OF THE**

**Vantis Life Insurance Company**

**TO THE**

**Insurance Department**

**OF THE**

**STATE OF**

**Connecticut**

**FOR THE QUARTER ENDED  
SEPTEMBER 30, 2024**

LIFE, ACCIDENT AND HEALTH

FRATERNAL BENEFIT SOCIETIES

**2024**



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

**QUARTERLY STATEMENT**

AS OF SEPTEMBER 30, 2024

OF THE CONDITION AND AFFAIRS OF THE

**Vantis Life Insurance Company**

NAIC Group Code 0850 0850 NAIC Company Code 68632 Employer's ID Number 06-0523876  
(Current) (Prior)

Organized under the Laws of Connecticut, State of Domicile or Port of Entry CT

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [ X ] Fraternal Benefit Societies [ ]

Incorporated/Organized 06/20/1963 Commenced Business 01/01/1964

Statutory Home Office 200 Day Hill Road, Windsor, CT, US 06095  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 200 Day Hill Road  
(Street and Number)  
Windsor, CT, US 06095, 860-298-6000  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 200 Day Hill Road, Windsor, CT, US 06095  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 200 Day Hill Road  
(Street and Number)  
Windsor, CT, US 06095, 860-298-6000  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.vantislifecom

Statutory Statement Contact Gail Elaine Lataille, 860-298-6004  
(Name) (Area Code) (Telephone Number)  
glataille@vantislifecom, 860-298-5413  
(E-mail Address) (FAX Number)

**OFFICERS**

Chairman, President and Chief Executive Officer	<u>David Michael O'Malley</u>	Chief Financial Officer of Life Insurance and Annuities	<u>Richard Matthew Klenk</u>
Chief Operating Officer	<u>Stephen Charles Kennedy #</u>	Chief Ethics and Compliance Officer and Secretary	<u>Victoria Marie Robinson</u>

**OTHER**

<u>Gregory Joseph Driscoll, Chief Information Officer</u>	<u>Ann-Marie Mason, Chief Legal Officer</u>	<u>Raymond Gerard Caucci, Chief Product Officer and Illustration Actuary</u>
<u>Justin Mark Wyant, Vice President and Appointed Actuary</u>	<u>Gail Elaine Lataille, Vice President, Financial Management and Treasurer</u>	

**DIRECTORS OR TRUSTEES**

<u>David Michael O'Malley</u>	<u>Stephen Charles Kennedy #</u>	<u>David Michael Raszeja</u>
<u>Victoria Marie Robinson</u>	<u>Richard Matthew Klenk</u>	<u>Karthick Dalawai</u>

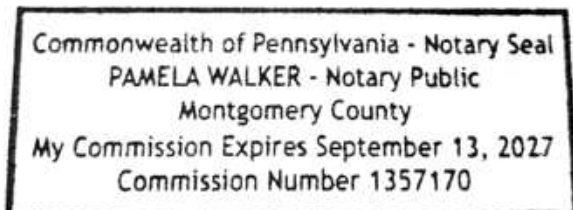
State of Pennsylvania SS:  
 County of Montgomery

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

David Michael O'Malley Chairman, President and Chief Executive Officer	Richard Matthew Klenk Chief Financial Officer of Life Insurance and Annuities	Victoria Marie Robinson Chief Ethics and Compliance Officer and Secretary

Subscribed and sworn to before me this 10/07/2024 day of October

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
 b. If no,  
 1. State the amendment number.....  
 2. Date filed .....  
 3. Number of pages attached.....



STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	312,860,859	0	312,860,859	337,472,387
2. Stocks:				
2.1 Preferred stocks .....	4,523,634	0	4,523,634	4,349,431
2.2 Common stocks .....	271,300	0	271,300	1,314,503
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ .....0 encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ .....0 encumbrances) .....	2,925,769	0	2,925,769	2,997,570
4.3 Properties held for sale (less \$ .....0 encumbrances) .....	0	0	0	0
5. Cash (\$ .....1,068,261 ), cash equivalents (\$ .....16,979,162 ) and short-term investments (\$ .....2,489,392 ) .....	20,536,815	0	20,536,815	17,598,470
6. Contract loans (including \$ .....0 premium notes) .....	6,045,442	0	6,045,442	5,935,572
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	5,000,000	0	5,000,000	5,000,000
9. Receivables for securities .....	1,638,606	0	1,638,606	0
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	353,802,425	0	353,802,425	374,667,933
13. Title plants less \$ .....0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	4,038,374	0	4,038,374	4,287,737
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	502,695	69,824	432,871	586,132
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....0 earned but unbilled premiums) .....	7,231,742	0	7,231,742	7,599,632
15.3 Accrued retrospective premiums (\$ .....0 ) and contracts subject to redetermination (\$ .....0 ) .....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	4,544,937	0	4,544,937	7,346,055
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	56,858	0	56,858	60,485
17. Amounts receivable relating to uninsured plans .....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon ....	5,659,164	0	5,659,164	7,126,107
18.2 Net deferred tax asset .....	0	0	0	0
19. Guaranty funds receivable or on deposit .....	6,829	0	6,829	730
20. Electronic data processing equipment and software .....	82,080	82,080	0	0
21. Furniture and equipment, including health care delivery assets (\$ .....0 ) .....	24,500	24,500	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	0	0	0	0
24. Health care (\$ .....0 ) and other amounts receivable .....	0	0	0	0
25. Aggregate write-ins for other than invested assets .....	48,014,584	53,292	47,961,292	47,598,777
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	423,964,188	229,696	423,734,492	449,273,588
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27)	423,964,188	229,696	423,734,492	449,273,588
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. COLI .....	45,430,655	0	45,430,655	45,421,878
2502. Admitted disallowed IMR .....	2,513,511	0	2,513,511	2,162,559
2503. Other receivable .....	17,126	0	17,126	14,340
2598. Summary of remaining write-ins for Line 25 from overflow page .....	53,292	53,292	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	48,014,584	53,292	47,961,292	47,598,777

STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ .....291,441,113 less \$ .....0 included in Line 6.3 (including \$ .....0 Modco Reserve) .....	291,441,113	316,803,857
2. Aggregate reserve for accident and health contracts (including \$ .....0 Modco Reserve) .....	1,015	1,015
3. Liability for deposit-type contracts (including \$ .....0 Modco Reserve).....	18,923,453	19,188,238
4. Contract claims:		
4.1 Life .....	1,869,308	1,735,409
4.2 Accident and health .....	0	0
5. Policyholders' dividends/refunds to members \$ .....0 and coupons \$ .....0 due and unpaid .....	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ .....0 Modco) .....	109,856	123,255
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ .....0 Modco) .....	119,657	116,819
6.3 Coupons and similar benefits (including \$ .....0 Modco).....	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ .....0 discount; including \$ .....0 accident and health premiums .....	100,797	95,995
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....	0	0
9.2 Provision for experience rating refunds, including the liability of \$ .....0 accident and health experience rating refunds of which \$ .....0 is for medical loss ratio rebate per the Public Health Service Act .....	0	0
9.3 Other amounts payable on reinsurance, including \$ .....0 assumed and \$ .....1,034,230 ceded .....	1,034,230	1,239,207
9.4 Interest Maintenance Reserve .....	0	0
10. Commissions to agents due or accrued-life and annuity contracts \$ .....0 , accident and health \$ .....0 and deposit-type contract funds \$ .....0 .....	0	0
11. Commissions and expense allowances payable on reinsurance assumed .....	0	0
12. General expenses due or accrued .....	435,856	706,315
13. Transfers to Separate Accounts due or accrued (net) (including \$ .....0 accrued for expense allowances recognized in reserves, net of reinsured allowances) .....	0	0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	90,324	90,324
15.1 Current federal and foreign income taxes, including \$ .....0 on realized capital gains (losses) .....	0	0
15.2 Net deferred tax liability .....	0	0
16. Unearned investment income .....	0	0
17. Amounts withheld or retained by reporting entity as agent or trustee .....	59,682	54,297
18. Amounts held for agents' account, including \$ .....0 agents' credit balances .....	0	0
19. Remittances and items not allocated .....	389,772	1,567,239
20. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0
21. Liability for benefits for employees and agents if not included above .....	3,212,531	3,091,511
22. Borrowed money \$ .....0 and interest thereon \$ .....0 .....	0	0
23. Dividends to stockholders declared and unpaid .....	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	4,464,547	4,785,175
24.02 Reinsurance in unauthorized and certified (\$ .....0 ) companies .....	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ .....0 ) reinsurers .....	0	0
24.04 Payable to parent, subsidiaries and affiliates .....	57,213	153,281
24.05 Drafts outstanding .....	4,233,777	5,658,488
24.06 Liability for amounts held under uninsured plans .....	0	0
24.07 Funds held under coinsurance .....	0	0
24.08 Derivatives .....	0	0
24.09 Payable for securities .....	1,425,743	0
24.10 Payable for securities lending .....	0	0
24.11 Capital notes \$ .....0 and interest thereon \$ .....0 .....	0	0
25. Aggregate write-ins for liabilities .....	424,419	1,117,057
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	328,393,293	356,527,482
27. From Separate Accounts Statement .....	0	0
28. Total liabilities (Lines 26 and 27) .....	328,393,293	356,527,482
29. Common capital stock .....	1,100,000	1,100,000
30. Preferred capital stock .....	0	0
31. Aggregate write-ins for other than special surplus funds .....	0	0
32. Surplus notes .....	0	0
33. Gross paid in and contributed surplus .....	109,910,729	109,910,729
34. Aggregate write-ins for special surplus funds .....	2,737,603	3,058,930
35. Unassigned funds (surplus) .....	(18,407,133)	(21,323,553)
36. Less treasury stock, at cost:		
36.1 .....0 shares common (value included in Line 29 \$ .....0 ) .....	0	0
36.2 .....0 shares preferred (value included in Line 30 \$ .....0 ) .....	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ .....0 in Separate Accounts Statement) .....	94,241,199	91,646,106
38. Totals of Lines 29, 30 and 37 .....	95,341,199	92,746,106
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	423,734,492	449,273,588
<b>DETAILS OF WRITE-INS</b>		
2501. Unclaimed funds for escheat .....	342,375	1,031,581
2502. Interest payable on death claims .....	82,044	85,476
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	424,419	1,117,057
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....	0	0
3401. Admitted disallowed IMR .....	2,513,511	2,162,559
3402. Deferral of annuity reinsurance gain .....	224,092	896,371
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	2,737,603	3,058,930

## STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

## SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	16,005,858	25,447,549	30,282,037
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	12,526,030	14,101,759	19,127,543
4. Amortization of Interest Maintenance Reserve (IMR)	(148,983)	4,580	(51,842)
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	529,412	585,999	769,259
7. Reserve adjustments on reinsurance ceded	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	2,281,520	2,230,267	3,539,236
9. Totals (Lines 1 to 8.3)	31,193,837	42,370,154	53,666,233
10. Death benefits	7,105,766	9,418,000	11,275,793
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0	0
12. Annuity benefits	1,266,875	3,497,960	4,669,353
13. Disability benefits and benefits under accident and health contracts	49,584	50,835	68,348
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	37,922,909	51,619,234	81,634,376
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	683,482	615,865	839,998
18. Payments on supplementary contracts with life contingencies	104,002	1,650	17,150
19. Increase in aggregate reserves for life and accident and health contracts	(25,356,827)	(27,484,680)	(56,872,041)
20. Totals (Lines 10 to 19)	21,775,791	37,718,864	41,632,977
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	148,210	404,161	447,337
22. Commissions and expense allowances on reinsurance assumed	0	0	0
23. General insurance expenses and fraternal expenses	4,292,293	4,045,681	5,802,195
24. Insurance taxes, licenses and fees, excluding federal income taxes	847,178	662,965	817,330
25. Increase in loading on deferred and uncollected premiums	6,821	67,550	(6,540)
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0
27. Aggregate write-ins for deductions	0	0	0
28. Totals (Lines 20 to 27)	27,070,293	42,899,221	48,693,299
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	4,123,544	(529,067)	4,972,934
30. Dividends to policyholders and refunds to members	165,606	196,595	231,376
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	3,957,938	(725,662)	4,741,558
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	1,081,696	(102,290)	(313,009)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	2,876,242	(623,372)	5,054,567
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 70,173 (excluding taxes of \$ (132,894) transferred to the IMR)	(134,858)	(67,380)	61,948
35. Net income (Line 33 plus Line 34)	2,741,384	(690,752)	5,116,515
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year	92,746,106	88,024,305	88,024,305
37. Net income (Line 35)	2,741,384	(690,752)	5,116,515
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	143,111	(375,084)	320,350
39. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
40. Change in net deferred income tax	0	0	0
41. Change in nonadmitted assets	62,250	279,974	344,082
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	0
44. Change in asset valuation reserve	320,627	(90,380)	(381,116)
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	0	0	0
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	(672,279)	(1,260,933)	(1,681,244)
52. Dividends to stockholders	0	0	0
53. Aggregate write-ins for gains and losses in surplus	0	969,134	1,003,214
54. Net change in capital and surplus for the year (Lines 37 through 53)	2,595,093	(1,168,041)	4,721,801
55. Capital and surplus, as of statement date (Lines 36 + 54)	95,341,199	86,856,264	92,746,106
<b>DETAILS OF WRITE-INS</b>			
08.301. Recognition of deferred reinsurance gain	672,279	1,260,933	1,681,244
08.302. COLI - change in cash surrender value	905,104	856,473	1,153,330
08.303. COLI - death claim proceeds	671,455	0	587,386
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	32,682	112,861	117,276
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	2,281,520	2,230,267	3,539,236
2701.			
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	0	0	0
5301. Change in admitted disallowed IMR	0	969,134	969,134
5302. Change in additional minimum benefit liability	0	0	34,080
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	969,134	1,003,214

STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	16,529,427	25,287,716	30,592,345
2. Net investment income .....	14,035,615	15,539,167	21,230,794
3. Miscellaneous income .....	2,810,932	1,555,333	4,308,495
4. Total (Lines 1 to 3) .....	33,375,974	42,382,216	56,131,634
5. Benefit and loss related payments .....	44,408,495	64,627,470	100,278,795
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	5,564,239	6,979,365	8,854,375
8. Dividends paid to policyholders .....	176,167	215,089	242,429
9. Federal and foreign income taxes paid (recovered) net of \$ .....0 tax on capital gains (losses) .....	(447,968)	569,809	(2,219,085)
10. Total (Lines 5 through 9) .....	49,700,933	72,391,733	107,156,514
11. Net cash from operations (Line 4 minus Line 10) .....	(16,324,959)	(30,009,517)	(51,024,880)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	30,793,954	27,445,835	50,945,456
12.2 Stocks .....	960,797	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	(18,705)
12.7 Miscellaneous proceeds .....	1,425,743	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	33,180,494	27,445,835	50,926,751
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	7,833,835	1,822,522	1,822,522
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	184,812	633,477	721,716
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	1,638,606	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	9,657,253	2,455,999	2,544,238
14. Net increase (or decrease) in contract loans and premium notes .....	109,870	8,772	754
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	23,413,371	24,981,064	48,381,759
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(264,785)	(141,805)	(259,157)
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(3,885,282)	(2,439,685)	3,291,622
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(4,150,067)	(2,581,490)	3,032,465
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	2,938,345	(7,609,943)	389,344
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	17,598,470	17,209,126	17,209,126
19.2 End of period (Line 18 plus Line 19.1) .....	20,536,815	9,599,183	17,598,470

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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## EXHIBIT 1

### DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Individual life .....	14,364,380	15,088,953	19,099,940
2. Group life .....	0	1,390,927	1,758,476
3. Individual annuities .....	8,538,816	15,091,093	17,465,583
4. Group annuities .....	0	0	0
5. Accident & health .....	0	693	(46)
6. Fraternal .....	0	0	0
7. Other lines of business .....	0	0	0
8. Subtotal (Lines 1 through 7) .....	22,903,196	31,571,666	38,323,953
9. Deposit-type contracts .....	0	0	0
10. Total (Lines 8 and 9)	22,903,196	31,571,666	38,323,953

## NOTES TO FINANCIAL STATEMENTS

### NOTE 1 Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The financial statements of Vantis Life Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Connecticut Insurance Department.

The Company recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under Connecticut Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Connecticut. The state has adopted certain prescribed accounting practices that may differ from those found in NAIC SAP.

At the time of Codification (fiscal year 2001), the Company was writing premium exclusively in the State of Connecticut, a state where the Valuation of Life Insurance Policies Model Regulation (Regulation XXX) has not been adopted. As a result, for some of its individual level term life insurance policies, the Company currently holds a Gross Premium Valuation (GPV) reserve subject to a floor of the larger of the unitary basis reserves and "1/2 cbarx", as prescribed by the State of Connecticut. The GPV reflects realistic assumptions for lapses, mortality, and expenses, adjusted for potential adverse deviations and valuation assumptions for interest rates.

The current reinsurance arrangement is 80% first dollar quota share yearly renewable term on these policies. If Regulation XXX reserves had been held, the reinsurance arrangement would have been 80% or 90% first dollar quota share coinsurance, thus reducing the reserve impact by 80% or 90%.

The current retained reserve is \$5,428,566. If these contracts had been 90% reinsured on a coinsurance basis and Regulation XXX reserves were held, the retained reserve would be \$3,008,768.

The following table compares GPV reserves (gross of reinsurance) for such policies issued since 2001 and the corresponding Regulation XXX reserves (pursuant to the revised Standard Valuation Law approved by the NAIC). The Company does not have an exposure to the difference shown below as the State of Connecticut has not adopted Regulation XXX. Were Connecticut to adopt Regulation XXX in the future, the Company's view is that it could not do so retroactively, as this would necessitate unwinding of the policies and the associated reinsurance.

	09/30/2024	12/31/2023
GPV Reserves	\$8,241,921	\$9,137,485
Regulation XXX Reserves:		
Basic Reserves	30,087,684	32,979,147
Deficiency Reserves	0	0
Total	30,087,684	32,979,147
Difference	\$21,845,764	\$23,841,662

	SSAP #	F/S Page	F/S Line #		2024		2023
NET INCOME							
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$	2,741,384	\$	5,116,515
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:							
GPV versus XXX Reserves	51R	4,5	19	\$	(1,576,780)	\$	(2,170,658)
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$	4,318,164	\$	7,287,173
SURPLUS							
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$	95,341,199	\$	92,746,106
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP: GPV versus XXX Reserves	51R	4	55	\$	12,266,731	\$	13,843,491
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$	83,074,468	\$	78,902,615

#### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with the NAIC Annual Statement Instructions and NAIC SAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expense during the period. Actual results could differ from those estimates.

#### C. Accounting Policy

Life premiums are recognized as income over the premium-paying period of the related policies. Annuity considerations are recognized as revenue when received.

Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred. The amount of dividends to be paid to policyholders is determined annually by the Company's Board of Directors. The aggregate amount of policyholders' dividends is related to actual interest, mortality, morbidity, and expense experience for the year and judgment as to the appropriate level of statutory surplus to be retained by the Company.

In addition, the Company uses the following accounting policies:

##### (1) Basis for Short-Term Investments

Short-Term Investments are stated in accordance with the guidance provided in SSAP No. 2R - Cash, Cash Equivalents, Drafts, and Short-Term Investments.

##### (2) Basis for Bonds and Amortization Schedule

Bonds not backed by other loans are stated at amortized cost using the interest method.

##### (3) Basis for Common Stocks

Unaffiliated common stocks are held at fair value.

##### (4) Basis for Preferred Stocks

Preferred stocks are stated in accordance with the guidance provided in SSAP No. 32 - Preferred Stock.

##### (5) Basis for Mortgage Loans

The Company does not own any mortgage loans on real estate.

##### (6) Basis for Loan-Backed Securities and Adjustment Methodology

Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities.



## NOTES TO FINANCIAL STATEMENTS

(7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities  
The Company does not own any investments in subsidiaries, controlled or affiliated entities.

(8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities  
The Company does not have investments in joint ventures, partnerships or limited liability entities.

(9) Accounting Policies for Derivatives  
The Company does not own any derivatives.

(10) Anticipated Investment Income Used in Premium Deficiency Calculation  
The Company does not utilize anticipated investment income as a factor in the premium deficiency calculation.

(11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses  
The liabilities for losses and loss/claim adjustment expenses for accident and health contracts are not applicable.

(12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period  
The Company has not modified its capitalization policy from the prior period.

(13) Method Used to Estimate Pharmaceutical Rebate Receivables  
The Company does not own any pharmaceutical rebate receivables.

D. Going Concern  
The Company evaluated its ability to continue as a going concern, and no substantial doubts were raised.

### NOTE 2 Accounting Changes and Corrections of Errors

The Company did not have any material changes in accounting principles and/or correction of errors.

### NOTE 3 Business Combinations and Goodwill

Not applicable - The Company did not have any business combinations nor resulting goodwill.

### NOTE 4 Discontinued Operations

Not applicable - The Company had no discontinued operations.

### NOTE 5 Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans  
No significant changes

B. Debt Restructuring  
No significant changes

C. Reverse Mortgages  
No significant changes

D. Loan-Backed Securities

(1) Description of Sources Used to Determine Prepayment Assumptions

Prepayment assumptions for mortgage-backed/loan-backed securities were obtained from the Company's investment advisor.

(2) Securities with Recognized Other-Than-Temporary Impairment

The Company did not recognize any other-than-temporary impairments on loan-backed securities during the period ended September 30, 2024.

(3) Recognized OTTI Securities

The Company did not recognize any other-than-temporary impairments on securities during the period ended September 30, 2024.

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a) The aggregate amount of unrealized losses:

1. Less than 12 Months	\$ 109,946
2. 12 Months or Longer	\$ 29,954,079

b) The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 3,248,442
2. 12 Months or Longer	\$ 238,157,715

(5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

In making impairment assessments, the Company considers past events, current conditions, and reasonable and supportable forecasts. In addition, the Company considers external investment advisor analyses, industry analyst reports and forecasts, sector credit ratings, the current financial condition of the guarantor of the security, and other market data that is relevant to the collectability of the security.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions  
No significant changes

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing  
The Company did not have any repurchase agreements during the statement period.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing  
The Company did not have any reverse repurchase agreements during the statement period.

H. Repurchase Agreements Transactions Accounted for as a Sale  
The Company did not have any repurchase agreements during the statement period.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
The Company did not have any reverse repurchase agreements during the statement period.

J. Real Estate

(1) Recognized Impairment Loss  
None

(2) Sold or Classified Real Estate Investments as Held for Sale  
None

(3) Changes to a Plan of Sale for an Investment in Real Estate  
None

(4) Retail Land Sales Operations  
None

(5) Real Estate Investments with Participating Mortgage Loan Features

## NOTES TO FINANCIAL STATEMENTS

None

K. Low Income Housing tax Credits (LIHTC)  
No significant changes

L. Restricted Assets

1. Restricted Assets (Including Pledged)

Restricted Asset Category	Gross (Admitted & Nonadmitted) Restricted						
	Current Year					6	7
	1	2	3	4	5		
	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
b. Collateral held under security lending agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
c. Subject to repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
d. Subject to reverse repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
e. Subject to dollar repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
f. Subject to dollar reverse repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
g. Placed under option contracts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
i. FHLB capital stock	\$ 271,300	\$ -	\$ -	\$ -	\$ 271,300	\$ 271,300	\$ -
j. On deposit with states	\$ 5,643,168	\$ -	\$ -	\$ -	\$ 5,643,168	\$ 5,661,922	\$ (18,754)
k. On deposit with other regulatory bodies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
l. Pledged collateral to FHLB (including assets backing funding agreements)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
m. Pledged as collateral not captured in other categories	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
n. Other restricted assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>o. Total Restricted Assets (Sum of a through n)</b>	<b>\$ 5,914,468</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 5,914,468</b>	<b>\$ 5,933,222</b>	<b>\$ (18,754)</b>

(a) Subset of Column 1

(b) Subset of Column 3

Restricted Asset Category	Current Year			
	8	9	Percentage	
			10	11
	Total Non-admitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	0.000%	0.000%
b. Collateral held under security lending agreements	\$ -	\$ -	0.000%	0.000%
c. Subject to repurchase agreements	\$ -	\$ -	0.000%	0.000%
d. Subject to reverse repurchase agreements	\$ -	\$ -	0.000%	0.000%
e. Subject to dollar repurchase agreements	\$ -	\$ -	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements	\$ -	\$ -	0.000%	0.000%
g. Placed under option contracts	\$ -	\$ -	0.000%	0.000%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	\$ -	\$ -	0.000%	0.000%
i. FHLB capital stock	\$ -	\$ 271,300	0.064%	0.064%
j. On deposit with states	\$ -	\$ 5,643,168	1.331%	1.332%
k. On deposit with other regulatory bodies	\$ -	\$ -	0.000%	0.000%
l. Pledged collateral to FHLB (including assets backing funding agreements)	\$ -	\$ -	0.000%	0.000%
m. Pledged as collateral not captured in other categories	\$ -	\$ -	0.000%	0.000%
n. Other restricted assets	\$ -	\$ -	0.000%	0.000%
<b>o. Total Restricted Assets (Sum of a through n)</b>	<b>\$ -</b>	<b>\$ 5,914,468</b>	<b>1.395%</b>	<b>1.396%</b>

(c) Column 5 divided by Asset Page, Column 1, Line 28

(d) Column 9 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)  
Not applicable

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)  
Not applicable

4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements  
Not applicable

M. Working Capital Finance Investments  
No significant changes

N. Offsetting and Netting of Assets and Liabilities

## NOTES TO FINANCIAL STATEMENTS

- No significant changes
- O. 5GI Securities  
No significant changes
- P. Short Sales  
Not applicable
- Q. Prepayment Penalty and Acceleration Fees

	General Account	Separate Account
1. Number of CUSIPs	3	0
2. Aggregate Amount of Investment Income	\$ 141,585	\$ -

- R. Reporting Entity's Share of Cash Pool by Asset Type  
Not applicable

### NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies

- A. Investments in Joint Ventures, Partnerships and Limited Liability Companies that Exceed 10% of Ownership  
Not applicable
- B. Investments in Impaired Joint Ventures, Partnerships and Limited Liability Companies  
Not applicable

### NOTE 7 Investment Income

No significant changes

### NOTE 8 Derivative Instruments

No significant changes

### NOTE 9 Income Taxes

No significant changes

### NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

During 1Q2024, the enterprise established 2 new entities: 1847 Select Ventures, LLC and 1847 Financial, LLC.

### NOTE 11 Debt

- A. Debt Including Capital Notes  
The Company does not maintain any debt.
- B. FHLB (Federal Home Loan Bank) Agreements  
(1) Information on the Nature of the Agreement

The Company withdrew its membership in the Federal Home Loan Bank ("FHLB") of Boston during the second quarter of 2023. The terms of the withdrawal process require the Company to maintain its membership stock for a period of 5 years. As such no further activity will be transacted with FHLB with the exception of the Company's membership stock holding.

- (2) FHLB Capital Stock  
a. Aggregate Totals

	1 Total 2+3	2 General Account	3 Separate Accounts
1. Current Year			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 271,300	\$ 271,300	\$ -
(c) Activity Stock	\$ -	\$ -	\$ -
(d) Excess Stock	\$ -	\$ -	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 271,300	\$ 271,300	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ -	XXX	XXX
2. Prior Year-end			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 271,300	\$ 271,300	\$ -
(c) Activity Stock	\$ -	\$ -	\$ -
(d) Excess Stock	\$ -	\$ -	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 271,300	\$ 271,300	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ -	XXX	XXX

11B(2)a1(f) should be equal to or greater than 11B(4)a1(d)  
11B(2)a2(f) should be equal to or greater than 11B(4)a2(d)

- b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

	1	2	Eligible for Redemption			
	Current Year Total (2+3+4+5+6)	Not Eligible for Redemption	3 Less Than 6 Months	4 6 Months to Less Than 1 Year	5 1 to Less Than 3 Years	6 3 to 5 Years
Membership Stock						
1. Class A	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2. Class B	\$ 271,300	\$ 271,300	\$ -	\$ -	\$ -	\$ -

11B(2)b1 Current Year Total (Column 1) should equal 11B(2)a1(a) Total (Column 1)  
11B(2)b2 Current Year Total (Column 1) should equal 11B(2)a1(b) Total (Column 1)

- (3) Collateral Pledged to FHLB  
a. Amount Pledged as of Reporting Date

## NOTES TO FINANCIAL STATEMENTS

	1	2	3
	Fair Value	Carrying Value	Aggregate Total Borrowing
1. Current Year Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ -	\$ -	\$ -
2. Current Year General Account Total Collateral Pledged	\$ -	\$ -	\$ -
3. Current Year Separate Accounts Total Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Total Collateral Pledged	\$ -	\$ -	\$ -

11B(3)a1 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b1 (Columns 1, 2 and 3 respectively)

11B(3)a2 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b2 (Columns 1, 2 and 3 respectively)

11B(3)a3 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b3 (Columns 1, 2 and 3 respectively)

11B(3)a4 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b4 (Columns 1, 2 and 3 respectively)

b. Maximum Amount Pledged During Reporting Period

	1	2	3
	Fair Value	Carrying Value	Amount Borrowed at Time of Maximum Collateral
1. Current Year Total General and Separate Accounts Maximum Collateral Pledged (Lines 2+3)	\$ -	\$ -	\$ -
2. Current Year General Account Maximum Collateral Pledged	\$ -	\$ -	\$ -
3. Current Year Separate Accounts Maximum Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	\$ -	\$ -	\$ -

(4) Borrowing from FHLB

The Company had no FHLB borrowings during 2024 or 2023.

**NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan

(1) Change in benefit obligation

No significant changes

(2) Change in plan assets

No significant changes

(3) Funded status

No significant changes

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2024	2023	2024	2023	2024	2023
(4) Components of net periodic benefit cost						
a. Service cost	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
b. Interest cost	\$ -	\$ -	\$ 81,084	\$ 156,507	\$ -	\$ -
c. Expected return on plan assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
d. Transition asset or obligation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
e. Gains and losses	\$ -	\$ -	\$ 39,937	\$ (11,188)	\$ -	\$ -
f. Prior service cost or credit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
g. Gain or loss recognized due to a settlement or curtailment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
h. Total net periodic benefit cost	\$ -	\$ -	\$ 121,021	\$ 145,319	\$ -	\$ -

(5) Amounts in unassigned funds (surplus) recognized as components of net periodic benefit cost

No significant changes

(6) Amounts in unassigned funds (surplus) that have not yet been recognized as components of net periodic benefit cost

No significant changes

(7) Weighted-average assumptions used to determine net periodic benefit cost as of the end of current period:

No significant changes

(8) Accumulated Benefit Obligation for Defined Benefit Pension Plans

No significant changes

(9) For Postretirement Benefits Other Than Pensions, the Assumed Health Care Cost Trend Rate(s)

Not applicable

(10) The following estimated future payments, which reflect expected future service, as appropriate, are expected to be paid in the years indicated:

Not applicable

(11) Estimate of Contributions Expected to be Paid to the Plan

Not applicable

(12) Amounts and Types of Securities Included in Plan Assets

Not applicable

(13) Alternative Method Used to Amortize Prior Service Amounts or Net Gains and Losses

Not applicable

(14) Substantive Comment Used to Account for Benefit Obligation

Not applicable

## NOTES TO FINANCIAL STATEMENTS

- (15) Cost of Providing Special or Contractual Termination Benefits Recognized  
Not applicable
- (16) Reasons for Significant Gains/Losses Related to Changes in Defined Benefit Obligation and any Other Significant Change in the Benefit Obligations or Plan Assets Not Otherwise Apparent  
Not applicable
- (17) Accumulated Postretirement and Pension Benefit Obligation and Fair Value of Plan Assets for Defined Postretirement and Pension Benefit Plans  
Not applicable
- (18) Full Transition Surplus Impact of SSAP 102  
Not applicable

- B. Investment Policies and Strategies  
Not applicable
- C. The fair value of each class of plan assets  
Not applicable
- D. Basis Used to Determine Expected Long-Term Rate-of-Return  
Not applicable
- E. Defined Contribution Plan  
No significant changes
- F. Multiemployer Plans  
Not applicable
- G. Consolidated/Holding Company Plans  
Not applicable
- H. Postemployment Benefits and Compensated Absences  
Not applicable
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)  
Not applicable

### NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant changes

### NOTE 14 Liabilities, Contingencies and Assessments

No significant changes

### NOTE 15 Leases

No significant changes

### NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

Not applicable - The Company does not maintain any financial instruments with off-balance sheet risk or financial instruments with concentrations of credit risk.

### NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. Transfers of Receivables Reported as Sales  
Not applicable - There have been no transfers of receivable reporting as sales during the reporting period.
- B. Transfer and Servicing of Financial Assets  
Not applicable - There have been no transfers of receivable reporting as sales during the reporting period.
- C. Wash Sales  
(1) Not applicable - The Company did not sell any NAIC designation 3 or below, or unrated securities during the reporting period and reacquired within 30 days of the sale date.

### NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable - The Company does not maintain any uninsured plans or partially insured plans.

### NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

### NOTE 20 Fair Value Measurements

- A.  
(1) Fair Value Measurements at Reporting Date

The Company's financial assets have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100-Fair Value Measurements. The hierarchy gives the highest ranking to fair values determined using unadjusted quoted prices in active markets for identical assets (Level 1) and the lowest ranking to fair values determined using methodologies and models with unobservable inputs (Level 3). An asset's classification is based on the lowest level input that is significant to its measurement. The following are the levels of the hierarchy and a brief description of the type of valuation inputs that are used to establish each level:

Pricing Level 1 – Valuations based on unadjusted quoted prices in active markets for identical assets that the Company's pricing sources have the ability to access. Since the valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these securities does not entail a significant amount or degree of judgment.

Pricing Level 2 – Valuations based upon quoted prices for similar assets in active markets, quoted prices for identical or similar assets in inactive markets; or valuations based on models where the significant inputs are observable (e.g. interest rates, yield curves, prepayment speeds, default rates, loss severities) or can be corroborated by observable market data.

Pricing Level 3 – Valuations that are derived from techniques in which one or more of the significant inputs are unobservable, including broker quotes which are non-binding. The following presents the Company's fair value hierarchy for assets and liabilities that are carried at fair value:

## NOTES TO FINANCIAL STATEMENTS

The following presents the Company's fair value hierarchy for assets and liabilities that are carried at fair value:

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
<b>a. Assets at fair value</b>					
Preferred stocks	\$ 2,100,740	\$ -	\$ 1,172,894	\$ -	\$ 3,273,634
Common stocks	\$ -	\$ -	\$ 271,300	\$ -	\$ 271,300
SVO identified funds	\$ 457,200	\$ -	\$ -	\$ -	\$ 457,200
<b>Total assets at fair value/NAV</b>	<b>\$ 2,557,940</b>	<b>\$ -</b>	<b>\$ 1,444,194</b>	<b>\$ -</b>	<b>\$ 4,002,134</b>

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
<b>b. Liabilities at fair value</b>					
<b>Total liabilities at fair value</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy**

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
<b>a. Assets</b>										
Preferred stocks	\$ 1,152,445	\$ -	\$ -	\$ -	\$ -	\$ 20,449	\$ -	\$ -	\$ -	\$ 1,172,894
Common stocks	\$ 271,300	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 271,300
<b>Total Assets</b>	<b>\$ 1,423,745</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 20,449</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,444,194</b>

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
<b>b. Liabilities</b>										
<b>Total Liabilities</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**(3) Policies when Transfers Between Levels are Recognized**

The Company's policy is to recognize transfers in and transfers out at the end of the reporting period.

**(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement**

The levels of hierarchy and a brief description of the type of valuation inputs is included above.

**(5) Fair Value Disclosures**

The Company does not maintain any derivative assets or liabilities.

**B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements**

As of September 30, 2024, the Company maintained no bonds rated NAIC 6.

As of September 30, 2024, the reported fair value of the Company's investment in Level 3 common stock included an investment in a non-affiliated entity of \$271,300.

**C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.**

**Cash and Cash Equivalents:**

The admitted asset amounts approximate their fair value due to their short-term nature.

**Investment Securities:**

Fair values for investment securities are based on market prices published by the SVO, or in the absence of SVO published unit prices or when amortized cost is used by the SVO as the unit price, quoted market prices by other third party organizations, where available.

For fixed maturity securities not actively traded, fair values are estimated using values obtained from independent pricing services, or in the case of private placements, are estimated by discounting the expected future cash flows using current market rates applicable to the coupon rate, credit and maturity of the investments.

The following presents a summary of the carrying values and fair values of the Company's financial instruments:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 283,857,193	\$ 312,860,860	\$ 457,200	\$ 283,399,993	\$ -	\$ -	\$ -
Preferred stocks	\$ 4,456,034	\$ 4,523,634	\$ 3,283,140	\$ -	\$ 1,172,894	\$ -	\$ -
Common stocks	\$ 271,300	\$ 271,300	\$ -	\$ -	\$ 271,300	\$ -	\$ -
Cash, cash equivalents, and short-term investments	\$ 20,541,123	\$ 20,536,812	\$ 18,047,423	\$ 2,493,700	\$ -	\$ -	\$ -

**D. Not Practicable to Estimate Fair Value**

Not applicable

**E. NAV Practical Expedient Investments**

Not applicable

**NOTE 21 Other Items**

**A. Unusual or Infrequent Items**

None

**B. Troubled Debt Restructuring: Debtors**

The Company did not recognize a troubled debt restructuring.

**C. Other Disclosures**

## NOTES TO FINANCIAL STATEMENTS

Assets in the amount of \$5,643,168 and \$5,661,922 at September 30, 2024 and December 31, 2023, respectively, were on deposit with government authorities or trustees as required by law.

The Company admitted negative IMR of \$2,513,511 and \$969,134 at September 30, 2024 and December 31, 2023, respectively. The Company's IMR balance includes interest-related realized gains and losses arising from sales of its fixed income investments that are done in compliance with the Company's investment management policies. The Company engages in prudent portfolio management that may require sales of its fixed income investments in order to rebalance the portfolio and match the duration of the Company's insurance liabilities. Proceeds from the sale of fixed income investments done for these purposes are reinvested in similar assets. If sales are executed due to excess withdrawal activity on the Company's insurance contracts, realized gains and losses are not deferred into the IMR. The Company did not have any excess withdrawals as of September 30, 2024 or December 31, 2023.

Negative IMR was admitted up to 10% of the Company's adjusted Capital and Surplus. Capital and Surplus was adjusted to exclude net positive admitted goodwill, electronic data processing equipment, and operating system software and net deferred tax assets (surplus will also be adjusted for any admitted negative IMR in subsequent periods). The computation of adjusted Capital and Surplus for purposes of negative IMR admissibility is included below:

	09/30/2024	12/31/2023
Capital and surplus	\$95,341,199	\$92,746,106
Less:		
Admitted positive goodwill	0	0
Admitted EDP equipment and operating system software	0	0
Admitted net deferred taxes	0	0
Adjusted capital and surplus	\$95,341,199	\$92,746,106
Limitation 10%	\$9,534,120	\$9,274,611
Current period negative IMR	\$2,513,511	\$2,162,559
Current period negative IMR as a % of adjusted capital and surplus	2.64%	2.33%

- D. Business Interruption Insurance Recoveries  
The Company did not recognize any business interruption insurance recoveries.
- E. State Transferable and Non-transferable Tax Credits  
None
- F. Subprime Mortgage Related Risk Exposure
- (1) Description of the Subprime-Mortgage-Related Risk Exposure and Related Risk Management Practices  
The Company's exposure to subprime mortgage related risk represents potential unrealized losses due to changes in asset values. The Company continuously monitors and assesses its investments to ensure the quality and risk exposure inherent in the investment portfolio.
  - (2) Direct exposure through investments in subprime mortgage loans.  
The Company maintains no investments in subprime mortgage loans.
  - (3) Direct exposure through other investments.  
The Company maintains no investments in subprime mortgage loans.
  - (4) Underwriting exposure to subprime mortgage risk through Mortgage Guaranty or Financial Guaranty insurance coverage.  
The Company maintains no underwriting exposure to subprime mortgage risk through Mortgage Guaranty or Financial Guaranty insurance coverage.
- G. Retained Assets  
The Company does not maintain any retained assets.
- H. Insurance-Linked Securities (ILS) Contracts  
The Company does not maintain any insurance-linked securities (ILS) contracts.
- I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy  
Not applicable

### NOTE 22 Events Subsequent

The Company has evaluated events subsequent to this reporting period, and has determined that there were no significant events requiring recognition in the financial statements.

### NOTE 23 Reinsurance

No significant changes

### NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

Not applicable - The Company does not maintain retrospectively rated contracts or contracts subject to redetermination.

### NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

Not applicable - There have been no changes in the provision for incurred loss and loss adjustment expenses attributable to insured events or prior years.

### NOTE 26 Intercompany Pooling Arrangements

Not applicable - The Company does not maintain any intercompany pooling arrangements.

### NOTE 27 Structured Settlements

Not applicable - The Company has not recognized any structured settlements.

### NOTE 28 Health Care Receivables

Not applicable - The Company does not maintain any health care receivables.

### NOTE 29 Participating Policies

No significant changes

### NOTE 30 Premium Deficiency Reserves

The Company does not maintain any liabilities pertaining to premium deficiency reserves.

## NOTES TO FINANCIAL STATEMENTS

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**NOTE 31 Reserves for Life Contracts and Annuity Contracts**

No significant changes

**NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics**

No significant changes

**NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics**

No significant changes

**NOTE 34 Premium & Annuity Considerations Deferred and Uncollected**

No significant changes

**NOTE 35 Separate Accounts**

Not applicable - The Company does not maintain any separate accounts.

**NOTE 36 Loss/Claim Adjustment Expenses**

Not applicable



STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
 If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
 .....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
 If yes, attach an explanation.  
 .....
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2020
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2020
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 03/16/2022
- 6.4 By what department or departments?  
 State of Connecticut Insurance Department .....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:  
 .....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
 .....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Horner, Townsend & Kent, LLC .....	Horsham, PA .....	NO	NO	NO	YES
Janney Montgomery Scott, LLC .....	Philadelphia, PA .....	NO	NO	NO	YES
Penn Mutual Asset Management, LLC .....	Conshohocken, PA .....	NO	NO	NO	YES

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain: .....
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s). .....
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s). .....

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto: .....
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ ..... 0
13. Amount of real estate and mortgages held in short-term investments: ..... \$ ..... 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ ..... 0   | \$ ..... 0  |
| 14.22 Preferred Stock .....   | \$ ..... 0   | \$ ..... 0  |
| 14.23 Common Stock .....  | \$ ..... 0   | \$ ..... 0  |
| 14.24 Short-Term Investments .....  | \$ ..... 0   | \$ ..... 0  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ ..... 0   | \$ ..... 0  |
| 14.26 All Other .....   | \$ ..... 5,000,000                                     | \$ ..... 0  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ ..... 5,000,000                                     | \$ ..... 0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ ..... 0   | \$ ..... 0  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  N/A
- If no, attach a description with this statement. ....
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ ..... 0
- 16.2 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ ..... 0
- 16.3 Total payable for securities lending reported on the liability page. .... \$ ..... 0

STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon .....	200 Park Avenue, New York, NY 10166 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Penn Mutual Asset Management, LLC .....	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
07518 .....	Penn Mutual Asset Management, LLC .....	54930003G37UC4C5EV40 .....	Securities and Exchange Commission .....	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

- 18.2 If no, list exceptions:  
 .....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:  
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.  
 b. Issuer or obligor is current on all contracted interest and principal payments.  
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.  
 Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:  
 a. The security was purchased prior to January 1, 2018.  
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.  
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.  
 Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:  
 a. The shares were purchased prior to January 1, 2019.  
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.  
 d. The fund only or predominantly holds bonds in its portfolio.  
 e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.  
 f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.  
 Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

**Life and Accident Health Companies/Fraternal Benefit Societies:**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1  
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages .....\$.....0
- 1.12 Residential Mortgages .....\$.....0
- 1.13 Commercial Mortgages .....\$.....0
- 1.14 Total Mortgages in Good Standing .....\$.....0
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms.....\$.....0
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages .....\$.....0
- 1.32 Residential Mortgages .....\$.....0
- 1.33 Commercial Mortgages .....\$.....0
- 1.34 Total Mortgages with Interest Overdue more than Three Months .....\$.....0
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages .....\$.....0
- 1.42 Residential Mortgages .....\$.....0
- 1.43 Commercial Mortgages .....\$.....0
- 1.44 Total Mortgages in Process of Foreclosure .....\$.....0
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) .....\$.....0
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages .....\$.....0
- 1.62 Residential Mortgages .....\$.....0
- 1.63 Commercial Mortgages .....\$.....0
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate .....\$.....0
2. Operating Percentages:
- 2.1 A&H loss percent ..... 0.000 %
- 2.2 A&H cost containment percent ..... 0.000 %
- 2.3 A&H expense percent excluding cost containment expenses ..... 0.000 %
- 3.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....0
- 3.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date .....\$.....0
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? ..... Yes [ ] No [ ] N/A [ X ]
- 5.2 If no, explain:  
.....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ X ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....	.....

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
<b>NONE</b>									

STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

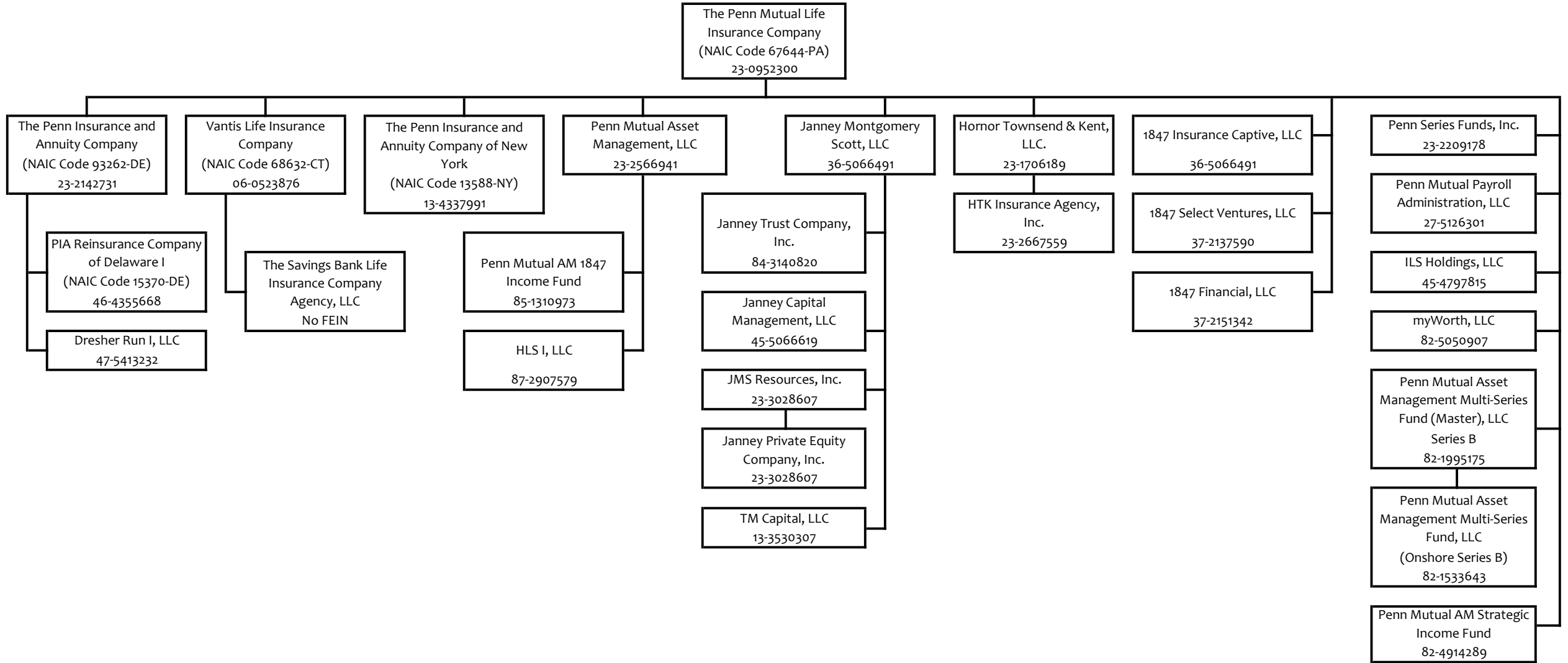
	1	Life Contracts		Direct Business Only			7	
		2	3	4	5	6		
States, Etc.	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	161,342	0	0	0	161,342	0
2. Alaska	AK	L	8,792	0	0	0	8,792	0
3. Arizona	AZ	L	81,883	0	0	0	81,883	0
4. Arkansas	AR	L	61,976	0	0	0	61,976	0
5. California	CA	L	305,059	0	0	0	305,059	0
6. Colorado	CO	L	66,283	0	0	0	66,283	0
7. Connecticut	CT	L	7,020,140	178,149	0	0	7,198,289	0
8. Delaware	DE	L	93,156	8,303,667	0	0	8,396,823	0
9. District of Columbia	DC	L	38,156	0	0	0	38,156	0
10. Florida	FL	L	1,018,575	30,000	0	0	1,048,575	0
11. Georgia	GA	L	265,898	0	0	0	265,898	0
12. Hawaii	HI	L	12,892	0	0	0	12,892	0
13. Idaho	ID	L	29,495	0	0	0	29,495	0
14. Illinois	IL	L	148,074	0	0	0	148,074	0
15. Indiana	IN	L	88,803	0	0	0	88,803	0
16. Iowa	IA	L	32,486	2,000	0	0	34,486	0
17. Kansas	KS	L	55,481	0	0	0	55,481	0
18. Kentucky	KY	L	69,220	0	0	0	69,220	0
19. Louisiana	LA	L	273,620	0	0	0	273,620	0
20. Maine	ME	L	133,505	0	0	0	133,505	0
21. Maryland	MD	L	735,400	0	0	0	735,400	0
22. Massachusetts	MA	L	428,919	0	0	0	428,919	0
23. Michigan	MI	L	88,949	0	0	0	88,949	0
24. Minnesota	MN	L	148,121	0	0	0	148,121	0
25. Mississippi	MS	L	197,102	0	0	0	197,102	0
26. Missouri	MO	L	79,500	0	0	0	79,500	0
27. Montana	MT	L	2,933	0	0	0	2,933	0
28. Nebraska	NE	L	8,891	0	0	0	8,891	0
29. Nevada	NV	L	38,805	0	0	0	38,805	0
30. New Hampshire	NH	L	117,241	0	0	0	117,241	0
31. New Jersey	NJ	L	430,600	0	0	0	430,600	0
32. New Mexico	NM	L	15,712	0	0	0	15,712	0
33. New York	NY	N	0	0	0	0	0	0
34. North Carolina	NC	L	304,352	24,000	0	0	328,352	0
35. North Dakota	ND	L	2,184	0	0	0	2,184	0
36. Ohio	OH	L	170,699	0	0	0	170,699	0
37. Oklahoma	OK	L	47,134	0	0	0	47,134	0
38. Oregon	OR	L	60,187	0	0	0	60,187	0
39. Pennsylvania	PA	L	544,107	0	0	0	544,107	0
40. Rhode Island	RI	L	58,184	0	0	0	58,184	0
41. South Carolina	SC	L	209,689	0	0	0	209,689	0
42. South Dakota	SD	L	10,684	0	0	0	10,684	0
43. Tennessee	TN	L	168,348	0	0	0	168,348	0
44. Texas	TX	L	390,726	0	0	0	390,726	0
45. Utah	UT	L	39,630	0	0	0	39,630	0
46. Vermont	VT	L	91,782	0	0	0	91,782	0
47. Virginia	VA	L	268,449	0	0	0	268,449	0
48. Washington	WA	L	61,377	0	0	0	61,377	0
49. West Virginia	WV	L	35,943	0	0	0	35,943	0
50. Wisconsin	WI	L	101,064	1,000	0	0	102,064	0
51. Wyoming	WY	L	8,392	0	0	0	8,392	0
52. American Samoa	AS	N	0	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0	0
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0
59. Subtotal	XXX		14,829,940	8,538,816	0	0	23,368,756	0
90. Reporting entity contributions for employee benefits plans	XXX		0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		0	0	0	0	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX		0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		49,585	0	0	0	49,585	0
94. Aggregate or other amounts not allocable by State	XXX		0	0	0	0	0	0
95. Totals (Direct Business)	XXX		14,879,525	8,538,816	0	0	23,418,341	0
96. Plus Reinsurance Assumed	XXX		0	0	0	0	0	0
97. Totals (All Business)	XXX		14,879,525	8,538,816	0	0	23,418,341	0
98. Less Reinsurance Ceded	XXX		6,662,189	235,149	0	0	6,897,338	0
99. Totals (All Business) less Reinsurance Ceded	XXX		8,217,336	8,303,667	0	0	16,521,003	0
<b>DETAILS OF WRITE-INS</b>								
58001.	XXX							
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	0	0
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:

- |  |  |
|--|--|
| 1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 50                   | 4. Q - Qualified - Qualified or accredited reinsurer..... 0                  |
| 2. R - Registered - Non-domiciled RRGs..... 0  | 5. N - None of the above - Not allowed to write business in the state..... 7 |
| 3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 |  |

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

PART 1- ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
.0850	The Penn Mutual Life Insurance Company	67644	23-0952300				The Penn Mutual Life Insurance Company	PA	UDP			0.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company	93262	23-2142731				The Penn Insurance and Annuity Company	DE	IA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company	15370	46-4355668				PIA Reinsurance Company of Delaware I	DE	IA	The Penn Insurance and Annuity Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company		23-1706189				Hornor Townsend & Kent, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company		23-2667559				HTK Insurance Agency, Inc.	DE	NIA	Hornor Townsend & Kent, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-2566941				Penn Mutual Asset Management, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		85-1310973				Penn Mutual AM 1847 Income Fund	PA	OTH	Penn Mutual Asset Management, LLC	Influence	0.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-2209178				Penn Series Fund, Inc.	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		27-5126301				Penn Mutual Payroll Administration, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		45-4797815				ILS Holdings, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		82-5050907				myWorth, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-0731260				Janney Montgomery Scott, LLC	DE	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		45-5066619				Janney Capital Management, LLC	DE	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-2159959				JMS Resources, Inc.	PA	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		84-3140820				Janney Trust Company, Inc.	NH	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-3028607				Janney Private Equity Company, Inc.	DE	NIA	JMS Resources, Inc.	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		13-3530307				TM Capital, LLC	GA	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		47-5413232				Dresher Run I, LLC	DE	NIA	The Penn Insurance and Annuity Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		82-1995175				Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series B	PA	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company		82-1533643				Penn Mutual Asset Management Multi-Series Fund, LLC (onshore)	PA	OTH	Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series B	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company		82-4914289				Penn Mutual AM Strategic Income Fund	PA	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company		87-2907579				HLS I, LLC	DE	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company	68632	06-0523876				Vantis Life Insurance Company	CT	RE	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company	13588	13-4337991				The Penn Insurance and Annuity Company of New York	NY	IA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company						The Savings Bank Life Insurance Company Agency, LLC	CT	DS	Vantis Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	



STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
.0850	The Penn Mutual Life Insurance Company		36-5066491				1847 Insurance Captive, LLC	..DE....	.....IA.....	The Penn Mutual Life Insurance Company	Ownership.....	100.000	The Penn Mutual Life Insurance Company	....NO.....	
.0850	The Penn Mutual Life Insurance Company		37-2137590				1847 Select Ventures, LLC	..DE....	.....NIA.....	The Penn Mutual Life Insurance Company	Ownership.....	100.000	The Penn Mutual Life Insurance Company	....NO.....	
.0850	The Penn Mutual Life Insurance Company		37-2151342				1847 Financial, LLC	..DE....	.....NIA.....	The Penn Mutual Life Insurance Company	Ownership.....	100.000	The Penn Mutual Life Insurance Company	....NO.....	

Asterisk	Explanation
1	Entity over which The Penn Mutual Life Insurance Company has significant influence, but no ownership.

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. In the case of an ongoing statement of exemption, enter "SEE EXPLANATION" and provide as an explanation that the company is utilizing an ongoing statement of exemption. ....	N/A

**AUGUST FILING**

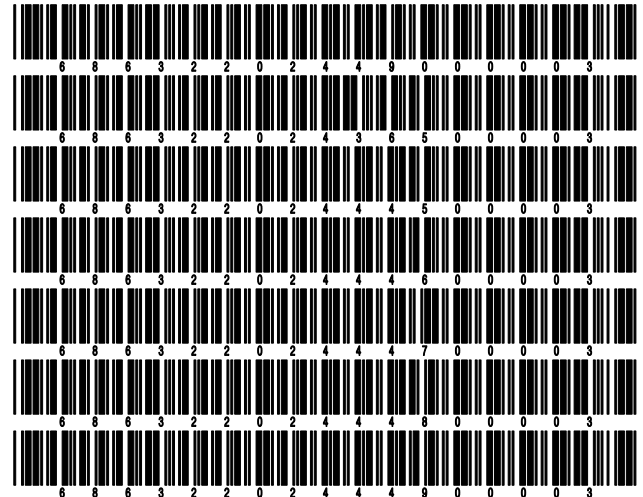
9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A
--	-----

Explanation:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Assets Line 25

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Prepaid expenses .....	53,292	53,292	0	0
2505. ....	0	0	0	0
2506. ....	0	0	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	53,292	53,292	0	0

Additional Write-ins for Summary of Operations Line 8.3

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
08.304. Miscellaneous income .....	32,682	112,861	117,276
08.397. Summary of remaining write-ins for Line 8.3 from overflow page	32,682	112,861	117,276

STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	2,997,569	2,524,406
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....	184,812	721,716
3. Current year change in encumbrances .....	0	0
4. Total gain (loss) on disposals .....	0	0
5. Deduct amounts received on disposals .....	0	0
6. Total foreign exchange change in book/adjusted carrying value .....	0	0
7. Deduct current year's other than temporary impairment recognized .....	0	0
8. Deduct current year's depreciation .....	256,613	248,553
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	2,925,768	2,997,569
10. Deduct total nonadmitted amounts .....	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	2,925,768	2,997,569

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase/(decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14)		

**NONE**

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	5,000,000	5,000,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....	0	0
3. Capitalized deferred interest and other .....	0	0
4. Accrual of discount .....	0	0
5. Unrealized valuation increase/(decrease) .....	0	0
6. Total gain (loss) on disposals .....	0	0
7. Deduct amounts received on disposals .....	0	0
8. Deduct amortization of premium and depreciation .....	0	0
9. Total foreign exchange change in book/adjusted carrying value .....	0	0
10. Deduct current year's other than temporary impairment recognized .....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	5,000,000	5,000,000
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	5,000,000	5,000,000

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	343,136,311	395,346,428
2. Cost of bonds and stocks acquired .....	7,833,835	1,822,522
3. Accrual of discount .....	191,673	391,105
4. Unrealized valuation increase/(decrease) .....	141,512	320,350
5. Total gain (loss) on disposals .....	(697,515)	(1,557,580)
6. Deduct consideration for bonds and stocks disposed of .....	31,896,336	51,088,483
7. Deduct amortization of premium .....	1,195,282	1,814,163
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	426,895
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	141,585	143,027
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	317,655,783	343,136,311
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	317,655,783	343,136,311

STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	140,520,714	2,487,793	7,110,791	(262,755)	137,714,204	140,520,714	135,634,961	145,306,001
2. NAIC 2 (a) .....	156,539,985	1,837,565	6,146,659	(1,629,412)	158,436,008	156,539,985	150,601,479	163,286,092
3. NAIC 3 (a) .....	24,493,766	499,375	418,086	34,490	24,821,561	24,493,766	24,609,545	24,954,266
4. NAIC 4 (a) .....	1,874,079	0	0	1,566,384	1,873,305	1,874,079	3,440,463	2,828,656
5. NAIC 5 (a) .....	1,083,758	0	18,787	(1,166)	1,091,422	1,083,758	1,063,805	1,097,371
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds	324,512,302	4,824,733	13,694,323	(292,459)	323,936,500	324,512,302	315,350,253	337,472,386
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	1,152,445	0	0	20,449	1,209,991	1,152,445	1,172,894	1,224,182
9. NAIC 2 .....	2,765,445	0	0	100,095	2,754,470	2,765,445	2,865,540	2,682,250
10. NAIC 3 .....	433,000	0	0	52,200	441,000	433,000	485,200	443,000
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock	4,350,890	0	0	172,744	4,405,461	4,350,890	4,523,634	4,349,432
15. Total Bonds and Preferred Stock	328,863,192	4,824,733	13,694,323	(119,715)	328,341,961	328,863,192	319,873,887	341,821,818

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 2,489,392 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

**SCHEDULE DA - PART 1**

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
7709999999 Totals	2,489,392	xxx	2,487,793	0	32,116

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	(1)	(1)
2. Cost of short-term investments acquired .....	2,487,793	4,787,518
3. Accrual of discount .....	1,599	110,385
4. Unrealized valuation increase/(decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	(18,705)
6. Deduct consideration received on disposals .....	0	4,879,198
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	2,489,391	(1)
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	2,489,391	(1)

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**NONE**

Schedule DB - Part B - Verification - Futures Contracts

**NONE**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**NONE**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**NONE**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	16,063,221	14,715,834
2. Cost of cash equivalents acquired .....	32,463,594	57,991,738
3. Accrual of discount .....	0	773,170
4. Unrealized valuation increase/(decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	31,547,653	57,417,521
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	16,979,162	16,063,221
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	16,979,162	16,063,221





Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**NONE**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**NONE**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**NONE**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
05329R-AA-1	AUTONATION INC		09/30/2024	MORGAN STANLEY & CO		923,160	1,000,000	3,208	2.C FE
233046-AS-0	DB MASTER FINANCE LLC		09/18/2024	JPM SECURITIES-FIXED		914,405	1,040,575	2,340	2.B FE
626717-AP-7	MURPHY OIL CORP		09/23/2024	MORGAN STANLEY & CO		499,375	500,000	0	3.B FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,336,940	2,540,575	5,548	XXX
2509999997. Total - Bonds - Part 3						2,336,940	2,540,575	5,548	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
2509999999. Total - Bonds						2,336,940	2,540,575	5,548	XXX
4509999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						0	XXX	0	XXX
5989999997. Total - Common Stocks - Part 3						0	XXX	0	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						0	XXX	0	XXX
5999999999. Total - Preferred and Common Stocks						0	XXX	0	XXX
6009999999 - Totals						2,336,940	XXX	5,548	XXX

STATEMENT AS OF SEPTEMBER 30, 2024 OF THE Vantis Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22			
										11	12	13	14	15										
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol			
..36202E-UL-1	GINNIE MAE II POOL		09/01/2024	PAYDOWN		40	40	39	40	0	0	0	0	0	40	0	0	0	1	07/01/2038	1.A			
..91282C-KS-9	UNITED STATES TREASURY NOTE/BOND		08/16/2024	CITIGROUP GLOBAL MKT		2,277,158	2,250,000	2,249,912	0	0	(122)	0	(122)	2,249,790	0	27,368	27,368	23,076	23,076	05/31/2026	1.A			
<b>0109999999. Subtotal - Bonds - U.S. Governments</b>						2,277,198	2,250,040	2,249,951	40	0	(122)	0	(122)	2,249,830	0	27,368	27,368	23,077	23,077	XXX	XXX			
..312941-SU-2	FREDDIE MAC GOLD POOL		09/01/2024	PAYDOWN		1,937	1,937	1,982	1,948	0	(10)	0	(10)	1,937	0	0	0	0	50	08/01/2040	1.A			
..313788-G6-8	FREDDIE MAC MULTIFAMILY STRUCTURED PASS		09/01/2024	PAYDOWN		0	0	312	0	0	0	0	0	0	0	0	0	79	01/01/2042	1.A				
..31378L-ME-5	FREDDIE MAC MULTIFAMILY STRUCTURED PASS		09/01/2024	PAYDOWN		0	0	141,226	26,869	0	(25,957)	0	(25,957)	0	0	0	0	16,642	08/01/2025	1.A				
..31378Y-PT-1	FREDDIE MAC MULTIFAMILY STRUCTURED PASS		09/01/2024	PAYDOWN		0	0	256,012	182	0	6	0	6	0	0	0	0	45,052	07/01/2044	1.A				
..31394D-GT-2	FANNIE MAE REMICS		09/01/2024	PAYDOWN		10,704	10,704	10,133	10,700	0	4	0	4	0	10,704	0	0	0	356	07/01/2025	1.A			
<b>0909999999. Subtotal - Bonds - U.S. Special Revenues</b>						12,641	12,641	409,665	39,699	0	(25,957)	0	(25,957)	12,641	0	0	0	0	62,179	XXX	XXX			
..001055-AQ-5	AFLAC INC		08/16/2024	FTN FINANCIAL SECURI		966,180	1,000,000	936,220	975,603	0	5,314	0	5,314	980,917	0	(14,737)	(14,737)	24,278	10/15/2026	1.G FE				
..05329W-AP-7	AUTONATION INC		09/30/2024	MORGAN STANLEY & CO		974,250	1,000,000	999,250	999,644	0	60	0	60	999,704	0	(25,454)	(25,454)	33,356	11/15/2027	2.C FE				
..11043H-AA-6	BRITISH AIRWAYS 2018-1 CLASS A PASS THRO		09/20/2024	SINKING PAYMENT		30,657	30,657	30,405	30,487	0	31	0	31	30,657	0	0	0	948	09/20/2031	1.G FE				
..12648A-BB-5	CSMC TRUST 2014-1VR1		09/01/2024	PAYDOWN		9,645	9,645	9,530	9,630	0	15	0	15	9,645	0	0	0	230	11/01/2043	1.A				
..12672#-AA-6	CVS LEASE-BACKED PASS 4.704 10SEP34		09/10/2024	SINKING PAYMENT		9,139	9,139	9,139	9,139	0	0	0	0	9,139	0	0	0	287	09/10/2034	2.B				
..12674#-AA-6	CVS LEASE-BACKED PASS 4.016 10AUG35		09/10/2024	SINKING PAYMENT		4,174	4,174	4,174	4,174	0	0	0	0	4,174	0	0	0	112	08/10/2035	2.B				
..233046-AF-8	DB MASTER FINANCE LLC		09/18/2024	VARIOUS		919,092	937,500	937,500	937,500	0	0	0	0	937,500	0	(18,408)	(18,408)	31,371	11/20/2047	2.B FE				
..340711-AW-0	FLORIDA GAS TRANSMISSION CO LLC		08/16/2024	US BANCORP INVESTMEN		396,156	400,000	399,100	399,830	0	58	0	58	399,888	0	(3,732)	(3,732)	19,043	07/15/2025	2.B FE				
..39121J-AH-3	GREAT RIVER ENERGY		07/01/2024	CALL 100		188,000	188,000	188,000	188,000	0	0	0	0	188,000	0	0	0	8,419	07/01/2030	1.G FE				
..47032#-AG-6	JAMES CAMPBELL CO LLC 4.48 30SEP24		09/30/2024	MATURITY		650,000	650,000	650,000	650,000	0	0	0	0	650,000	0	0	0	29,120	09/30/2024	2.A				
..61946G-AB-9	MOSAIC SOLAR LOANS 2017-2 LLC		09/20/2024	PAYDOWN		19,896	19,896	19,892	19,895	0	2	0	2	19,896	0	0	0	630	06/22/2043	1.F FE				
..63939X-AD-3	NAVIENT PRIVATE EDUCATION LOAN TRUST 201		09/15/2024	PAYDOWN		89,962	89,962	89,956	89,959	0	4	0	4	89,962	0	0	0	2,358	12/16/2058	1.A FE				
..650119-AA-8	NEW YORK UNIVERSITY		07/01/2024	CALL 100		28,000	28,000	28,000	28,000	0	0	0	0	28,000	0	0	0	1,466	07/01/2032	1.D FE				
..867914-AH-6	TRUIST FINANCIAL CORP		08/16/2024	SUNTRUST ROBINSON HU		1,009,420	1,000,000	1,119,480	1,037,653	0	(10,863)	0	(10,863)	1,026,789	0	(17,369)	(17,369)	60,667	02/15/2026	2.A FE				
..93141*-AA-3	WALGREEN CO (4 PNW PPT 5.54 15NOV35		09/15/2024	SINKING PAYMENT		16,700	16,700	16,700	16,700	0	0	0	0	16,700	0	0	0	617	11/15/2035	3.A				
..94989J-BB-1	WELLS FARGO COMMERCIAL MORTGAGE TRUST 20		08/16/2024	BNP PARIBAS SECS CP/		979,531	1,000,000	988,438	997,008	0	1,496	0	1,496	998,503	0	(18,972)	(18,972)	27,749	05/01/2048	1.A				
..94989N-BG-1	WELLS FARGO COMMERCIAL MORTGAGE TRUST 20		08/16/2024	BNP PARIBAS SECS CP/		978,750	1,000,000	1,003,281	1,000,826	0	(344)	0	(344)	1,000,482	0	(21,732)	(21,732)	29,147	09/01/2058	1.A				
..94989T-BB-9	WELLS FARGO COMMERCIAL MORTGAGE TRUST 20		08/16/2024	BNP PARIBAS SECS CP/		1,467,656	1,500,000	1,513,242	1,503,602	0	(1,344)	0	(1,344)	1,502,257	0	(34,601)	(34,601)	45,225	09/01/2058	1.A				
..97063Q-AA-0	WILLIS ENGINE STRUCTURED TRUST III		09/15/2024	PAYDOWN		11,862	11,862	11,858	11,858	0	4	0	4	11,862	0	0	0	371	08/15/2042	2.B FE				
..21684A-AC-0	COOPERATIVE RABOBANK UA		08/16/2024	WELLS FARGO SECURITI		742,928	750,000	748,860	749,763	0	95	0	95	749,858	0	(6,930)	(6,930)	34,180	08/04/2025	2.A FE				
..59111R-AA-0	METAL 2017-1 LLC		07/15/2024	PAYDOWN		18,787	18,787	18,787	18,787	0	0	0	0	18,787	0	0	0	502	10/15/2042	5.B FE				
..88606W-AA-0	THUNDERBOLT AIRCRAFT LEASE LTD		07/15/2024	PAYDOWN		129,840	129,840	129,834	129,840	0	0	0	0	129,840	0	0	0	4,302	05/17/2032	2.A FE				
..88606W-AB-8	THUNDERBOLT AIRCRAFT LEASE LTD		08/15/2024	PAYDOWN		401,386	401,386	405,337	401,509	0	(123)	0	(123)	401,386	0	0	0	16,712	05/17/2032	3.C FE				
<b>1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						10,042,011	10,195,548	10,256,977	10,209,407	0	(5,595)	0	(5,595)	10,203,946	0	(161,935)	(161,935)	371,090	XXX	XXX				
..65559C-AA-9	NORDEA BANK ABP		08/16/2024	RBC CAPITAL MARKETS		1,204,500	1,200,000	1,300,500	1,238,315	0	(10,409)	0	(10,409)	1,227,905	0	(23,405)	(23,405)	71,329	01/01/9999	2.B FE				
<b>1309999999. Subtotal - Bonds - Hybrid Securities</b>						1,204,500	1,200,000	1,300,500	1,238,315	0	(10,409)	0	(10,409)	1,227,905	0	(23,405)	(23,405)	71,329	XXX	XXX				
<b>2509999997. Total - Bonds - Part 4</b>						13,536,350	13,658,229	14,217,093	11,487,461	0	(42,083)	0	(42,083)	13,694,322	0	(157,972)	(157,972)	527,675	XXX	XXX				
<b>2509999998. Total - Bonds - Part 5</b>						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
<b>2509999999. Total - Bonds</b>						13,536,350	13,658,229	14,217,093	11,487,461	0	(42,083)	0	(42,083)	13,694,322	0	(157,972)	(157,972)	527,675	XXX	XXX				
<b>4509999997. Total - Preferred Stocks - Part 4</b>						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX		
<b>4509999998. Total - Preferred Stocks - Part 5</b>						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
<b>4509999999. Total - Preferred Stocks</b>						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
<b>5989999997. Total - Common Stocks - Part 4</b>						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
<b>5989999998. Total - Common Stocks - Part 5</b>						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
<b>5989999999. Total - Common Stocks</b>						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
<b>5999999999. Total - Preferred and Common Stocks</b>						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
<b>6009999999 - Totals</b>						13,536,350	XXX	14,217,093	11,487,461	0	(42,083)	0	(42,083)	13,694,322	0	(157,972)	(157,972)	527,675	XXX	XXX				

E05

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**NONE**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**NONE**



